Attorney Docket No.: YOR919990588US2

D STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Rudolf Maarten Bolle

Chitra Dorai Nalini K. Ratha

YOR919990588US2 Docket No.:

Serial No.: Unassigned

Filing Date: Concurrently Herewith

SYSTEM AND METHOD FOR DISTORTION CHARACTERIZATION IN Title:

FINGERPRINT AND PALM-PRINT IMAGE SEQUENCES AND USING

THIS DISTORTION AS A BEHAVIORAL BIOMETRICS

Commissioner of Patents

P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant's attorney wishes to bring to

the attention of the Patent and Trademark Office the following document(s) listed on the

accompanying PTO/Form 1449. Copies of non-patent documents can be provided upon Examiner's

request.

U.S. Patent Documents U.S. Patent No. 5,933,515

- U.S. Patent No. 6,323,846
 U.S. Patent No. 6,388,657
- 4. U.S. Patent No. 6,466,686
- 5. U.S. Patent No. 6,487,306

Other Documents

6. Bergen et al., "Hierarchical Model-Based Motion Estimation," pgs. 237, 251 (May 1992).

7. Dorai et al., "Extracting Motion Annotations from MPEG-2 Compressed Video for HDTV Content Management Applications," International Conference on Multimedia Computing and Systems, June 7-11, 1999,

Florence Italy, IEEE Multimedia Systems '99, Vol. 1, pgs. 673-678 (1999).

Attorney Docket No.: YOR920020372US1

8. Haskell et al., "Digital Video: An Introduction to MPEG-2," Motion Compensation Modes in MPEG, Chapter 7, pgs. 146-155 (1997).

9. Nalwa, V.S., "Automatic On/Line Signature Verification," Proceedings of the

IEEE, Vol. 85, No. 2, pgs. 215-239 (February 1997). 10. Ratha et al., "Adaptive Flow Orientation-Based Feature Extraction in Fingerprint Images," Pattern Recognition, Vol. 28, No. 11, pgs. 1657-1672 (1995).

11. Ratha et al., "A Real-Time Matching System for Large Fingerprint Database," IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 18, No. 8, pgs. 799-813 (1996).

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability or that no other material information exists.

Date: September 3, 2003

Respectfully submitted,

Robert J. Mauri Attorney for Applicants Reg. No. 41,180

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FORM PTO-1449 (MODIFIED)

Applicant:

Rudolf Maarten Bolle

Chitra Dorai

Nalini K. Ratha
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Group: / Unassigned

LIST OF PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS							
EXAMINER	DOCUMENT NO	DATE	NAME		C	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE
INITIAL	5,933,515	08/03/99	Pu et al.	/			
	6,323,846	11/01	Westerman	/ et al	Ö	345/173	
	6,388,657	05/02	Natoli et al.		•	345/168	•
		10/02	/	rew William	$\mathbf{x}^{\mathcal{V}}$	382/124	
	6,466,686		Jain et al.	icw william	~	382/125	
	6,487,306	11/02	Jain et al.	\mathcal{L}	9	362/123	
		FOR	EIGN PATEN	T DOCUM	ENTS		
EXAMINER		DATE/	COUNTRY	2	4	CLASS CLASS	TRANSLATION YES NO
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	Bergen et al., "	Hierarchical Mo	odel-Based Mot	ion Estimation	on," pgs. 23	37, 251 (May 19	192).
	Dorai et al., "B	xtracting Motio	n Annotations f	rom MPEG-	2 Compres	sed Video for H	DTV Content
· -	Management Applications," International Conference on Multimedia Computing and Systems, June 7-11, 1999, Florence Italy, IEEE Multimedia Systems '99, Vol. 1, pgs. 673-678 (1999).						
	Haskell et al., "Digital Video: An Introduction to MPEG-2," Motion Compensation Mod MPEG-Chapter 7, pgs. 146-155 (1997).						
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	pgs/215-239 (F	February 1997).					
	Ratha et al., "A	daptive Flow O	rientation-Base	d Feature Ex	traction in	Fingerprint Ima	ges," Pattern
	Recognition, V	ol. 28, No. 11, p	ogs. 1657-1672	(1995).			
/	Ratha et al "A	Real-Time Ma	tching System f	for Large Fin	gerprint Da	tabase," IEEE 1	Fransactions on
	Pattern Analysi	s and Machine	Intelligence, Vo	ol. 18, No. 8,	pgs. 799-8	13 (1996).	
<i>v</i>							
Examiner						Date Consider	red

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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